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Docket No.: 4752-004

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Kimio ISHIMARU et al.

U.S. Patent Application No.:

Filed: *herewith*

For: RESEARCH-AND-DEVELOPMENT INVESTMENT SECURITIES SELLING  
METHOD, SYSTEM, PROGRAM, AND RECORDING MEDIUM

**PRELIMINARY AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Preliminary to examination of the above-referenced application, please amend the application as follows: